

NOTES

- 1. STUDENT MEMBERSHIP:** For details of the benefits of CISI student membership please consult the CISI website: cisi.org/studentmembers
- 2. CHANGE OF NAME:** If you have changed your name since your last exam please send us a copy of the relevant documentation, eg, marriage certificate, marked for the attention of the Data Integrity Executive.
- 3. TRANSFER AND WITHDRAWAL POLICY:** Before booking your examination place, please read the Transfers, Withdrawals, Resits & Late Entries policy (9) at cisi.org/exampolicies
- 4. CANDIDATE NUMBER:** If you have previously taken examinations with the CISI please insert your Candidate Number if known. Otherwise, leave blank.
- 5. COMPUTER BASED TESTING EXAMINATIONS (CBT):** Candidates should select **one location** at which they wish to sit their examination from the following CBT examination centres in India: Bangalore, Chennai, Delhi, Gurgaon, Hyderabad, Kolkatta or Mumbai.
- 6. EXAMINATION FEES:** Fee prices are set annually for the period 1 April - 31 March and visit cisi.org/pricelist for current prices. Payment can be made by American Express, Delta, Eurocard, MasterCard, Switch and Visa. Please complete the details below.
- 7. CHANGE OF ADDRESS:** Please notify the CISI promptly of any address change.
- 8. SPECIAL NEEDS:** Candidates with special needs should notify the CISI at least 28 days prior to the examination to allow appropriate arrangements to be made. All requests for extra time must be supported by an original of the medical/educational psychologist's report at the time of lodging this registration.
- 9. REGULATIONS:** The examination regulations can be viewed at cisi.org/exampolicies. You will be sent a copy of the examination regulations with your exam entry acknowledgement.

For all credit/debit card bookings please fax or email a scanned, signed copy to:

Fax: +91 (22) 4091 9499
Email: southasia.office@cisi.org and also copy membership@icwai.org

For foreign currency demand drafts, please send your application form along with the demand draft, by post to:

Neil Goodenough
Client Services,
Chartered Institute for Securities & Investment,
8 Eastcheap, London EC3M 1AE, United Kingdom

ICAI EXAMINATIONS ENTRY FORM

REGISTRATION FEE:

The registration fee is a single payment for every candidate taking an examination. Once the fee is paid in respect of a named candidate there is no repeat of this fee required, no matter how many times a candidate takes CISI examinations, including other CISI qualifications.

The registration fee includes student membership which is provided for a full 12 months from the exam booking date (Note 1).

Registration Fee	<input type="checkbox"/> £25	<input type="checkbox"/> £25		
			ICAI Student Entry	ICAI Member Entry
			1st Choice Exam Date (Note 3 + 5)	2nd Choice Exam Date (Note 3 + 5)
International Introduction to Investment (stand-alone)	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Investment Operations Certificate (IOC) -				
Introduction to Securities & Investment *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
FSA Financial Regulation or Principles of Financial Regulation	<input type="checkbox"/> £97.50	<input type="checkbox"/> £146.25 / / AM/PM / / AM/PM
Administration of Settlement & Investments	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Asset Servicing (Corporate Actions) *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Collective Investment Schemes Administration	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Exchange-Traded Derivatives Administration *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Global Securities Operations *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
IT in Investment Operations *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
ISA Administration	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Operational Risk *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
OTC Derivatives Administration *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Combating Financial Crime	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Global Financial Compliance	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Risk in Financial Services	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM

* these units are recommended as more generic/international for candidates in India

PERSONAL & PAYMENT DETAILS

ICAI membership number Please tick if ICAI student member or ICAI member

PERSONAL DETAILS: Please print in capitals

Surname: (Note 2) Title: eg. Mr/Mrs/Miss/Ms
 Forename(s):
 Home address: Postcode:
 Home telephone: Date of birth: / /

CANDIDATE NUMBER: (Note 4)

EXAMINATION LOCATION: (See Note 5 for test locations in India)

EXAMINATION FEES: (Note 6)

Employer-sponsored
 or self-sponsored

	ICAI Student Entry	ICAI Member Entry	1st Choice Exam Date (Note 3 + 5)	2nd Choice Exam Date (Note 3 + 5)
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CISI Certificates Programme

FSA Financial Regulation or Principles of Financial Regulation	<input type="checkbox"/> £97.50	<input type="checkbox"/> £146.25 / / AM/PM / / AM/PM
Financial Derivatives	<input type="checkbox"/> £97.50	<input type="checkbox"/> £146.25 / / AM/PM / / AM/PM
Derivatives	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Commodity Derivatives	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Global Securities	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
International Investment Management	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Combating Financial Crime	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Global Financial Compliance	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Risk in Financial Services	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM

International Certificate in Wealth Management (ICWM) £112.50 £168.75 / / AM/PM / / AM/PM

Advanced Certificates programme

Advanced Operational Risk	<input type="checkbox"/> £130	<input type="checkbox"/> £195 / / AM/PM / / AM/PM
Advanced Global Securities Operations	<input type="checkbox"/> £130	<input type="checkbox"/> £195 / / AM/PM / / AM/PM

CISI Diploma Units

Bonds & Fixed Interest Markets	<input type="checkbox"/> £157.50	<input type="checkbox"/> £236.25 / / AM/PM / / AM/PM
Fund Management	<input type="checkbox"/> £157.50	<input type="checkbox"/> £236.25 / / AM/PM / / AM/PM
Financial Derivatives	<input type="checkbox"/> £157.50	<input type="checkbox"/> £236.25 / / AM/PM / / AM/PM
Global Operations Management	<input type="checkbox"/> £157.50	<input type="checkbox"/> £236.25 / / AM/PM / / AM/PM
Regulation & Compliance	<input type="checkbox"/> £157.50	<input type="checkbox"/> £236.25 / / AM/PM / / AM/PM

£ TOTAL payment:

(Please ensure you have included the Registration Fee if applicable)

EMPLOYMENT DETAILS: Please use Company Stamp if possible (Note 7)

Name of firm:
 Firm's address: Postcode:
 Position held:
 Office telephone:
 Email address:

Please indicate with a tick where you would like correspondence to be sent: Home Work Email

PAYMENT: Please fill out the appropriate information

Account Firms: Please invoice. Invoice recipient name: _____ Job title: _____

Payment by foreign currency demand draft: made payable to 'Chartered Institute for Securities & Investment'. Foreign currency demand attached

Payment by Card: The Chartered Institute for Securities & Investment accepts payment by certain types of payment card – American Express, Delta, Eurocard, MasterCard, Switch and Visa. If you would prefer to make your payment in this way, please complete the information requested below. Forms may be faxed or posted to the Institute. If submitting the original form after having sent it by fax, please tick this box to ensure you are not charged TWICE:

Please Note: A credit card fee of 2% of the total order price will apply to all purchases made with a credit card.

(Please check whether you have included the Registration Fee if applicable)

I wish to pay by *American Express/Delta/Eurocard/MasterCard/Switch/Visa *Delete as applicable

I authorise you to debit my account with the amount of £ _____

Card number:

Expiry date: Switch/AMEX issue date: Switch only issue no:

Cardholder's name: (if different to that on

previous page of this form)

Cardholder's address: (if different to that on previous page of this form)

Cardholder's signature: _____ Telephone:

DECLARATION:

I agree to be bound by the Chartered Institute for Securities & Investment examination regulations (Note 9) and the terms and notes specified in this entry form. The Institute reserves the right to publish examination results. Results will be available to the FSA or other regulator.

Candidate's signature: _____ Date: _____

Unsigned forms and forms signed on behalf of candidates are NOT accepted.

Office use only:	Foreign currency demand draft no.	Order No.	Fee Payer:	Purchase Order No.:
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